



BUSINESS LICENSE COMMISSION
COUNTY OF LOS ANGELES
374 KENNETH HAHN HALL OF ADMINISTRATION
500 WEST TEMPLE STREET
LOS ANGELES, CA 90012
(213) 974-7691



January 26, 2011

Michael McTernan
United States Association for UNHCR
3540 Wilshire Blvd, Suite 203
Los Angeles, CA 90010

MEMBERS
STEVEN AFRIAT
PRESIDENT
RENÉE CAMPBELL
VICE-PRESIDENT
DIANA WOOD
SECRETARY
JAMES BARGER
COMMISSIONER
SARA VASQUEZ
COMMISSIONER

**APPLICATION FOR NOTICE OF INTENTION TO SOLICIT
AND INFORMATION CARD**

Dear Applicant:

The Business License Commission will hold a hearing on the above matter on **Wednesday, February 9, 2011 at 9:00 a.m.** in Room 374-A, 500 West Temple Street, Los Angeles, CA 90012. Your presence is requested at this hearing. If you are unable to attend you may authorize a representative to appear on your behalf. The representative must present signed and duly notarized letter giving authorization and the reasons you are unable to appear.

RIGHT TO REPRESENTATION / FOREIGN LANGUAGE SPEAKERS

You have the right to be represented at this hearing by an attorney or other individual of your choosing and at your own cost. In the absence of a representative, you must represent yourself and the hearing will proceed as scheduled.

If you require a translator, you must arrange at your own cost to have present at the hearing either a **professional/certified interpreter or other person who is fluent in both English and your native language.** If you are unable to locate an interpreter, please contact our office and you will be provided a list of interpreting services.

Parking is available at your cost in Lot 14, the Music Center lot, located at the corner of Grand Avenue and Temple Street. A map is enclosed. **Please note proceedings begin promptly at 9:00 a.m. The Business License Commission reserves the right to reschedule your hearing to a later date for failure to timely appear.**

Sincerely,

STEVEN AFRIAT
President

Twila P. Kerr
Commission Staff

NOTE: Please photocopy both sides
and retain for your files.

NOTICE OF INTENTION TO SOLICIT
To Appeal or Solicit for Charitable Purposes in the
UNINCORPORATED Portions of the
COUNTY OF LOS ANGELES
BUSINESS LICENSE COMMISSION
374 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles CA 90012
Telephone: 213/974-7691

mmcternan@usaforunhcr.org

ALL QUESTIONS MUST BE ANSWERED, PLEASE TYPE OR PRINT.

(Los Angeles County Code, Volume 3, Title 7, Chapter 7-24 requires that this Notice of Intention to be filed at least 30 days prior to beginning your solicitation or advertisement for your fund-raising activity. No advertisement or solicitation may begin until this office has issued an Information Card. "No" or "None" may be written where appropriate on this form. Additional Information may be added on separate sheets; however *do not* add separate sheets in lieu of answering the questions on this form.)

1. United States Association for UNHCR When organized: 01/10/10
(Full Name of Organization) Incorporated: Yes
2. 3540 Wilshire Blvd, Suite 203, 90010, Los Angeles 657 203 7830
(Address: Street, City and Zip Code) (Telephone - Daytime)
3. Michael McGernan (address as above) 657 203 7830
(Name of Person in Charge of Appeal -- Address and Zip Code) (Telephone -- Daytime and E-mail Address)
4. TO CONDUCT OR SOLICIT: General Appeal
(If only to solicit funds, it would be a General Appeal, if a specific event, state type of event)
5. **WHERE and WHEN** this fund-raising activity will be held: Los Angeles, begin when issued.
(If specific event, exact dates)
6. Solicitation/Advertisement starts _____; ends _____
(Specific date, or when issued) (Last day of specified event)
7. **SPECIFIC** Purpose of this Solicitation: To fund Refugee protection work for the UN
8. **ANTICIPATED** Gross Goal (**Before** deducting expenses): \$ _____ \$ 5746,000 \$ _____ (5 yrs)
(LOCAL) (STATE) (NATIONAL)
9. If this solicitation or activity is conducted on behalf of another organization, give its name and address and enclose a copy of a letter of authorization from organization(s). _____
10. Solicitation/Advertisement to be made by means of (indicate by checking below):
() Volunteer Solicitors () Box Office Sales () Posters () Bulletins
(☒) Paid Solicitors () Telephone () Newspapers
() Personal Approach () Radio/Television () Mail
Other _____ methods _____ (specify): _____
11. Admission: \$ _____ Tickets _____ Invitations _____ No. Printed _____
Numbered _____
SPECIFY PER PERSON
PER COUPLE
Selling prices: (Ads, cookies, etc.) _____ Cost of Carnival Tickets: _____
Games: _____ Rides: _____

12. Itemized list of **ANTICIPATED** expenses to be incurred in conducting this solicitation only:

Salaries	<u>1,493,400</u>	Printing Advertisement	_____
Solicitors	_____	Stationery/Postage	_____
Managers	_____	Prizes	_____
Promoters	_____	Cost of Merchandise	_____
Other	_____	Refreshments/Meals	_____
Rents	_____	Miscellaneous:	<u>108,805</u>
Music	_____	(Specify)	<u>(information letters etc)</u>
Telephone	_____	ANTICIPATED TOTAL \$	<u>1,602,205</u>

13. a. 28% Percent (anticipated) of gross contributions for expenses (divide gross goal --Item No. 8-- into expenses ---Item No. 12.---)
- b. 72% Percent (anticipated) of gross contributions to be used as specified in application (subtract percent for expenses --- 13. a. --- from 100%)
- c. 72% Percent of the proceeds to be used outside of Los Angeles County and specify where it will be use (If applicable) Internation Refugee camps & services

NOTE: PLEASE BREAK ALL PERCENTAGES DOWN TO THE NEAREST TENTH.

14. I the signer of this Notice of Intention, attach hereto copies of the following as required:
- Articles of Incorporation and/or Bylaws of this organization (**BOTH** if group is incorporated)
 - Names, Titles and Terms of Offices for two Officers of this organization
 - Current Financial Statement (treasurer's report, audit, etc.)
 - A statement of any and all agreements or understandings made or had with any agent, solicitor, promoter or manager of this solicitation, or a copy of such agreement or understanding, if it is in writing.
 - Tax exemption certificate. State & Federal

(Items a, b, c and e above must be submitted. If items c or d do not apply to your group, indicate "none")

I have read and understand the provisions of Los Angeles County Code, volume 3, Title 7, Chapter 7-24 and before authorizing any person to solicit, I will require the solicitor to read Sections 7-24-010 to 7-24-400 of said Ordinance.

Within 30 days after the completion of the solicitation, I will submit the Report of Results of Activity form to the Business License Commission, indicating all receipts and expenditures of this appeal/activity.

PLEASE PRINT NAME AND THEN SIGN. AN OFFICER OF THE ORGANIZATION MUST SIGN.

"I declare under penalty of perjury under the laws of the County of Los Angeles and the State of California that the foregoing is true and correct."

MMW

(Signature and Title)

508 S. Serrano Ave #301, Los Angeles, CA, 90020

(Complete Address)

657 203 7830

Daytime Telephone Number

11/01/11

Today's Date

NON-COMPLIANCE WITH, OR VIOLATION OF, LOS ANGELES COUNTY CODE, VOLUME 3, TITLE 7, CHAPTER 7-24, IS A MISDEMEANOR PUNISHABLE BY A FINE OR IMPRISONMENT -- OR BOTH.

IMPORTANT REMINDER: A current list of officers and a current financial statement or audit must be sent at least once annually to keep your file updated. Other documents are not necessary unless they have new or additional information, or amendments.

Please give the name and telephone number of a person that we may contact for questions regarding the "NOTICE OF INTENTION" application.

Name: Michael McTernan

Telephone No. 657 203 7830

Marc Breslaw, Executive Director

Lillian Alemayehu, Director of Finance and Administration

Internal Revenue Service

Department of the Treasury

Date: March 20, 2002

**P. O. Box 2508
Cincinnati, OH 45201**

**United States Association for UNHCR
1775 K Street NW Suite 290
Washington DC 20006 1517**

Person to Contact:
Shawndea Krebs 31-02330
Customer Service Specialist
Toll Free Telephone Number:
8:00 a.m. to 6:30 p.m. EST
877-829-5500
Fax Number:
513-263-3758
Federal Identification Number:
52-1662800

Dear Sir or Madam:

This letter is in response to your request for a copy of your organization's determination letter. This letter will take the place of the copy you requested.

Our records indicate that a determination letter issued in January 1991 granted your organization exemption from federal income tax under section 501(c)(3) of the Internal Revenue Code. That letter is still in effect.

Based on information subsequently submitted, we classified your organization as one that is not a private foundation within the meaning of section 509(a) of the Code because it is an organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

This classification was based on the assumption that your organization's operations would continue as stated in the application. If your organization's sources of support, or its character, method of operations, or purposes have changed, please let us know so we can consider the effect of the change on the exempt status and foundation status of your organization.

Your organization is required to file Form 990, Return of Organization Exempt from Income Tax, only if its gross receipts each year are normally more than \$25,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of the organization's annual accounting period. The law imposes a penalty of \$20 a day, up to a maximum of \$10,000, when a return is filed late, unless there is reasonable cause for the delay.

All exempt organizations (unless specifically excluded) are liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more paid to each employee during a calendar year. Your organization is not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, these organizations are not automatically exempt from other federal excise taxes.

Donors may deduct contributions to your organization as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to your organization or for its use are deductible for federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

United States Association for UNHCR
52-1662800

Your organization is not required to file federal income tax returns unless it is subject to the tax on unrelated business income under section 511 of the Code. If your organization is subject to this tax, it must file an income tax return on the Form 990-T, Exempt Organization Business Income Tax Return. In this letter, we are not determining whether any of your organization's present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

The law requires you to make your organization's annual return available for public inspection without charge for three years after the due date of the return. You are also required to make available for public inspection a copy of your organization's exemption application, any supporting documents and the exemption letter to any individual who requests such documents in person or in writing. You can charge only a reasonable fee for reproduction and actual postage costs for the copied materials. The law does not require you to provide copies of public inspection documents that are widely available, such as by posting them on the Internet (World Wide Web). You may be liable for a penalty of \$20 a day for each day you do not make these documents available for public inspection (up to a maximum of \$10,000 in the case of an annual return).

Because this letter could help resolve any questions about your organization's exempt status and foundation status, you should keep it with the organization's permanent records.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

This letter affirms your organization's exempt status.

Sincerely,



John E. Rickelts, Director, TE/GE
Customer Account Services



STATE OF CALIFORNIA
FRANCHISE TAX BOARD
PO BOX 1286
RANCHO CORDOVA CA 95741-1286

In reply refer to
755:AFF:ARJ

January 10, 2011

UNITED STATES ASSOCIATION FOR UNHCR
MICHAEL MC TERNAN
PO BOX 75154
LOS ANGELES CA 90075-0154

Purpose : CHARITABLE
Code Section : 23701d
Form of Organization : Corporation
Accounting Period Ending: December 31
Organization Number : 8031898

EXEMPT ACKNOWLEDGEMENT LETTER

This letter acknowledges that the Franchise Tax Board (FTB) has received your federal determination letter that shows exemption under Internal Revenue Code (IRC) Section 501(c)(3). Under California law, Revenue and Taxation Code (R&TC) Section 23701d(c)(1) provides that an organization is exempt from taxes imposed under Part 11 upon submission of the federal determination letter approving the organization's tax-exempt status pursuant to Section 501(c)(3) of the IRC.

The effective date of your organization's California tax-exempt status is 01/01/1991.

R&TC Section 23701d(c)(1) further provides that the effective date of an organization's California tax-exempt status is the same date as the federal tax-exempt status under IRC Section 501(c)(3).

Under R&TC Section 23701d(c), any change to your organization's operation, character, or purpose that has occurred since the federal exemption was originally granted must be reported immediately to this office.

January 10, 2011
UNITED STATES ASSOCIATION FOR UNHCR
ENTITY ID : 8031898
Page 2

Additionally, organizations are required to be organized and operating for nonprofit purposes to retain California tax-exempt status.

For filing requirements, get FTB Pub. 1068, Exempt Organizations - Filing Requirements and Filing Fees. Go to ftb.ca.gov and search for 1068.

Note: This exemption is for state franchise or income tax purposes only. For information regarding sales tax exemption, contact the State Board of Equalization at 800.400.7115, or go to their website at boe.ca.gov.

A JENKINS
EXEMPT ORGANIZATIONS
BUSINESS ENTITIES SECTION
TELEPHONE (916) 845-4605
FAX NUMBER (916) 845-9029

RTF:

COPY

ARTICLES OF INCORPORATION
OF
UNITED STATES ASSOCIATION FOR UNHCR

FILED
AUG 21 1989
By:

1. The name of the Corporation is United States Association for UNHCR.
2. The Corporation is a "corporation" as defined in D.C. Code § 29-502(1) and a "Not for profit corporation" as defined in D.C. Code § 29-502(3).
3. The Corporation shall have perpetual duration.
4. The Corporation is formed exclusively for charitable and educational purposes within the meaning of § 501(c)(3) of the Internal Revenue Code, particularly to inform the American people of the work of the United Nations High Commissioner for Refugees (UNHCR) and of the needs and circumstances of those who are considered to be refugees by UNHCR; to improve the well-being of such refugees and to promote durable solutions to refugee problems; and to solicit and receive funds for the purposes stated herein.
5. In furtherance of the foregoing purposes, the Corporation shall enjoy all powers which are or may hereafter be conferred upon such corporations under the District of Columbia Nonprofit Corporation Act and any other applicable law, except that the Corporation shall not have the power of indemnification conferred by D.C. Code § 29-505(14).

6. The Corporation is not an agent or representative of UNHCR, and no member, director, officer, or employee of the Corporation shall make any contrary representation to any person.

7. The Corporation shall have two classes of members which shall differ only in the date of expiration of their terms. Members shall have the qualifications prescribed in the by-laws and shall be elected or appointed, as the case may be, as provided in the by-laws. Members shall have the right to vote.

8. The first Board of Directors shall consist of three (3) directors as follows:

John McCallin
1718 Connecticut Avenue, N.W.
Suite 200
Washington D.C. 20009

Fernando Chang-Muy
1718 Connecticut Avenue, N.W.
Suite 200
Washington, D.C. 20009

Patricia Weiss-Fagen
1718 Connecticut Avenue, N.W.
Suite 200
Washington, D.C. 20009

These directors shall hold office until the first annual election of directors or until their successors are elected or duly qualified.

9. The number of directors (except for the first Board of Directors) shall be fixed in the by-laws. Directors (except for ex officio directors) shall be elected by the members. The President and Secretary of the Corporation shall serve as ex

officio directors and shall be elected or appointed, as the case may be, as provided in the bylaws.

10. (a) No part of the net earnings of the Corporation shall inure to the benefit of, or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article 4 hereof.

(b) No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in or intervene in (including the publishing or distributing of statements) any political campaign on behalf of or in opposition to any candidate for public office.

(c) Notwithstanding any other provision of these articles, the Corporation shall not carry on any activities not permitted to be carried on (i) by a corporation exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code, or (ii) by a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code, or corresponding section of any future federal tax code.

11. In the event of dissolution of the Corporation, the assets of the Corporation shall be distributed for such exempt

purposes within the meaning of section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code, as may most nearly carry out the purposes of the Corporation. Any such assets not so disposed of shall be disposed of by a court of competent jurisdiction, exclusively for such exempt purposes or to such organization or organizations, as the Court shall determine, which are organized and operated exclusively for such purposes.

12. The initial registered office of the Corporation shall be:

1800 Massachusetts Avenue, N.W.
Suite 800
Washington, D.C. 20036

The initial registered agent shall be:

Julie M. Edmond
Shea & Gardner
1800 Massachusetts Avenue, N.W.
Washington, D.C. 20036

13. The incorporators, all of whom are natural persons over the age of 18, shall be as follows:

Patrick M. Hanlon
Shea & Gardner
1800 Massachusetts Avenue, N.W.
Washington, D.C. 20036

Julie M. Edmond
Shea & Gardner
1800 Massachusetts Avenue, N.W.
Washington, D.C. 20036

Fernando Chang-Muy
1718 Connecticut Avenue, N.W.
Suite 200
Washington, D.C. 20009

IN WITNESS WHEREOF, the undersigned incorporators have signed these articles of incorporation this day of August, 1989, and affirm under penalties of perjury that the statements contained therein are true.

Patrick M. Hanlon

Julie M. Edmond
Fernando Chang-Muy

DISTRICT OF COLUMBIA)
) ss.

I hereby certify that, on this 17TH day of AUGUST, 1989, before me, the subscriber, a Notary Public in and for the DISTRICT OF COLUMBIA aforesaid, personally appeared PATRICK M. HANLON and JULIE M. EDMOND who are personally well known to me as the persons who executed the foregoing Articles of Incorporation of United States Association for UNHCR, and they acknowledged the same to be their act and deed.

As witness my hand and Notarial Seal

Debbie Bess Smith
Notary Public

My Commission Expires: MARCH 14, 1994

DISTRICT OF COLUMBIA)

ss.

I hereby certify that, on this 21ST day of August, 1989, before me, the subscriber, a Notary Public in and for the DISTRICT OF COLUMBIA aforesaid, personally appeared FERNANDO CHANG-MUY who is personally well known to me as the person who executed the foregoing Articles of Incorporation of United States Association for UNHCR, and he acknowledged the same to be his act and deed.

As witness my hand and Notarial Seal

Debbie Best Smith
Notary Public

My Commission Expires: 3-14-94

GOVERNMENT OF THE DISTRICT OF COLUMBIA
DEPARTMENT OF CONSUMER AND REG'
BUSINESS REGULATION ADMIN'



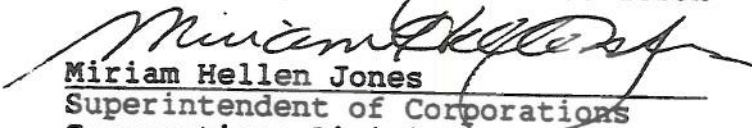
C E R T I F I C A T E

THIS IS TO CERTIFY that all applicable provisions of the DISTRICT
OF COLUMBIA NONPROFIT CORPORATION ACT have been complied with and
accordingly, this CERTIFICATE of INCORPORATION is hereby issued to
UNITED STATES ASSOCIATION FOR UNHCR

as of AUGUST 21ST , 1989..

Donald G. Murray
Director

Henry C. Lee, III
Administrator
Business Regulation Administration


Miriam Hellen Jones
Superintendent of Corporations
Corporations Division

Marion Barry, Jr.
Mayor